



FILE COPY

Receipt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: EDWARD A. HUBBARD et al.

Filed: JUNE 23, 2000

For: DATA CONVERSION SERVICES AND ASSOCIATED  
DISTRIBUTED PROCESSING SYSTEM

Serial No.: 09/602,844

Examiner: UNKNOWN

Atty Dkt: UNTD:012

RECEIVED

FEB - 6 2001

Technology Center 2100

Pursuant to 37 C.F.R. 1.8, I certify that this correspondence is being deposited with the U.S. Postal Service in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on the date below:

11-14-00

Date

Kim Minner

Name

Assistant Commissioner For Patents  
Washington, DC 20231

**SECOND REQUEST FOR CORRECTED FILING RECEIPT**

Sir:

A corrected filing receipt is hereby requested in view of the errors which appear in the original. Under the paragraph entitled Continuing Data as Claimed by Applicant, please remove --\*-- that appears after the phrase "AND A CIP OF 09/539,023 03/30/2000." Also, please remove the language "(\*) Data inconsistent with PTO records." The serial number was previously corrected.

Because the error is not due to any error by Applicant(s), no fee is believed to be due in connection with the filing of this document. However, should any fee under 37 C.F.R. §§1.16 to 1.21 be deemed necessary for any reason relating to this document, the commission is hereby authorized to deduct said fee from Deposit Account 10-1205/UNTD:012.

Please date stamp and return the enclosed postcard evidencing receipt of these materials.

Respectfully submitted,



Brian W. Peterman  
Reg. No. 37,908  
Attorney for Applicant

O'KEEFE, EGAN & PETERMAN, L.L.P.  
1101 Capital of Texas Highway South  
Building C, Suite 200  
Austin, Texas 78746  
512-347-1611  
512-347-1615 (FAX)

RECEIVED

FEB - 6 2001

Technology Center 2100



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/602,844	06/23/2000	2756	345	UNTD:012	14	17	3

Brian W Peterman  
O'Keefe Egan & Peterman LLP  
Building C Suite 200  
1101 Capital of Texas Highway South  
Austin, TX 78746



## CORRECTED FILING RECEIPT



\*OC000000005516402\*

Date Mailed: 10/30/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Edward A. Hubbard, Round Rock, TX ;  
Sriram S. Mandyam, Austin, TX ;

RECEIVED

FEB - 6 2001

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CIP OF 09/538,543 03/30/2000  
AND A CIP OF 09/539,023 03/30/2000 \*  
AND A CIP OF 09/539,448 03/30/2000  
AND A CIP OF 09/539,428 03/30/2000  
AND A CIP OF 09/539,106 03/30/2000  
AND A CIP OF 09/538,542 03/30/2000  
AND A CIP OF 09/539,107 03/30/2000

Technology Center 2100

Please remove

(\*) Data inconsistent with PTO records

## Foreign Applications

If Required, Foreign Filing License Granted 08/15/2000

\*\* SMALL ENTITY \*\*

RECEIVED

NOV - 4 2000

O'KEEFE, EGAN &amp; PETERMAN, LLP

## Title

Data conversion services and associated distributed processing system

**Preliminary Class**  
709

---

**Data entry by : TOWLER, VERNON**

**Team : OIPE**

**Date: 10/30/2000**





**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**RECEIVED**  
**FEB - 6 2001**

**GRANTED**

**Technology Center 2100**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:



Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

**RECEIVED**

**FEB - 6 2001**

**Technology Center 2100**

#3



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov



Bib Data Sheet

<b>SERIAL NUMBER</b> 09/602,844	<b>FILING DATE</b> 06/23/2000 <b>RULE</b> _	<b>CLASS</b> 709	<b>GROUP ART UNIT</b> 2756	<b>ATTORNEY DOCKET NO.</b> UNTD:012
------------------------------------	------------------------------------------------------	---------------------	-------------------------------	----------------------------------------

**APPLICANTS**

Edward A. Hubbard, Round Rock, TX ;  
Sriram S. Mandyam, Austin, TX ;

**\*\* CONTINUING DATA \*\*\*\*\***

THIS APPLICATION IS A CIP OF 09/538,543 03/30/2000  
AND A CIP OF 09/539,023 03/30/2000  
AND A CIP OF 09/539,448 03/30/2000  
AND A CIP OF 09/539,428 03/30/2000  
AND A CIP OF 09/539,106 03/30/2000  
AND A CIP OF 09/538,542 03/30/2000  
AND A CIP OF 09/539,107 03/30/2000

mnu

**\*\* FOREIGN APPLICATIONS \*\*\*\*\***

none mnu

**IF REQUIRED, FOREIGN FILING LICENSE**  
**GRANTED \*\* 08/15/2000**

**\*\* SMALL ENTITY \*\***

Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no	35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance	STATE OR COUNTRY TX	SHEETS DRAWING 14	TOTAL CLAIMS 17	INDEPENDENT CLAIMS 3
Verified and Acknowledged Examiner's Signature: <i>Maresha N. Williams</i> Initials: <i>mnu</i>					

**ADDRESS**

Brian W Peterman  
O'Keefe Egan & Peterman LLP  
Building C Suite 200  
1101 Capital of Texas Highway South  
Austin, TX 78746

**TITLE**

Data conversion services and associated distributed processing system

<b>FILING FEE RECEIVED</b> 345	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees ( Filing )
		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
		<input type="checkbox"/> 1.18 Fees ( Issue )
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit